



# FEE SCHEDULE

Traditional, Roth, SEP, SIMPLE, Coverdell ESA, HSA and Qualified Plan

Entrust Arizona  
20860 N. Tatum Blvd. Ste. 240  
Phoenix, AZ 85050  
P: (480) 306-8404 F: (480) 306-8408

ACCOUNT SET-UP FEE: \$ 50 (Due upon account opening)

ANNUAL RECORDKEEPING FEE: (Based on Option selected below; Due upon initial funding)

**Option One: Fee Based on Number of Investments:**  
\$325 Per Asset and/or Liability

**Option Two: Fee Based on Total Account Value**

Total Account Value		Multiply value by:
\$0	\$30,000	0.0120 (\$195 min.)
\$30,001	\$50,000	0.0100
\$50,001	\$70,000	0.0090
\$70,001	\$100,000	0.0085
\$100,001	\$200,000	0.0075
\$200,001	\$299,999	0.0065
\$300,000 and up		\$1850 max.

**PLEASE NOTE:**  
**THIS ACCOUNT WILL BE CLOSED**  
**IF NOT FUNDED IN 60 DAYS**

## ANNUAL RECORDKEEPING FEE INCLUDES

- Online Account Access
- Required minimum distributions by check
- Annual Tax Reporting
- Access to Regular Educational/Networking Events

## TRANSACTION FEES

- Purchase, Sale, Exchange or Re-Registration of any Asset/Liability: \$125
- Wire transfers: \$30
- Cashiers or other official bank check: \$10; ACH transfers, Trust checks, \$5 each
- Overnight mail: \$30
- Returned Items or Stop Payment Request: \$30
- Re-processing of incomplete documents: \$25 per reprocessing, plus applicable fees
- Individual (k) Plan Document Fee: \$300 per year
- Special services, such as research of closed accounts, legal research, expedited investment review or additional processing required for certain complex transactions: \$150/hour
- Partial or Full Account Termination - Includes transfer of assets from your account and lump-sum distributions: .005 of the termination value plus applicable transaction fees; minimum fee of \$150

PAY FEES BY:  Visa  MC  Discover  American Express  Fund from Account  Check

NAME ON CARD \_\_\_\_\_ BILLING ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

CARD NUMBER \_\_\_\_\_ EXP. DATE \_\_\_\_\_

SECURITY CODE \_\_\_\_\_ SIGNATURE \_\_\_\_\_

If an invoice on your account is unpaid for 30 days and funds are unavailable in the account, the credit/debit card on file for the account will be charged.

*Annual Record keeping fees are normally withdrawn from your un-directed funds, unless you submit payment directly by check, credit or debit card. Fees paid from your account will be reflected on your statement. You may also prepay fees by check, credit or debit card or charge to your account. If there are insufficient un-directed funds in your account, we may liquidate other assets in your account to pay for such fees after a 30 day notification, in accordance with your Plan and Trust Disclosure. You agree and direct the Administrator that your un-directed cash is placed in government insured instruments, including FDIC insured banks, unless we are otherwise directed by you. In accordance with your plan and trust disclosure which you received as part of your application, Custodial fees are part of the plan and trust disclosure. Late Payment, Fees: The lesser of 1.5% per month (18% per annum) or the maximum allowable under applicable state law. In accordance with your Account Application, this Fee Disclosure is part of your Agreement with the Administrator and must accompany your Application. If a signed Fee Disclosure is not received with your Application, fees will be based on "Option 2—Account Value".*

PLEASE PRINT, SIGN AND MAIL THIS FORM TO YOUR ENTRUST OFFICE.

Printed Name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_