



**The Entrust Group**  
Self-Directed Retirement Plan Services

# FEE SCHEDULE

Traditional IRA, Roth IRA, SEP, SIMPLE, Coverdell ESA, HSA and Qualified Plan

Entrust Administration of the Southeast  
2663 Valleydale Road # 223  
Birmingham, AL 35244  
205.985.0860 (p) 205.985.8674 (f)  
www.entrustsoutheast.com

## ANNUAL RECORDKEEPING FEE (PLEASE CHOOSE ONE OPTION) - Paid upon initial account funding

**Option One: Fee Based on Number of Investments:**  
**\$300 Per Asset and/or Liability**

**Option Two: Fee Based on Total Account Value**

Total Account Value:		Annual Asset Fee:
\$0	\$14,999.99	\$195
\$15,000	\$29,999.99	\$260
\$30,000	\$44,999.99	\$325
\$45,000	\$59,999.99	\$390
\$60,000	\$89,999.99	\$450
\$90,000	\$124,999.99	\$525
\$125,000	\$249,999.99	\$650
\$250,000	\$499,999.99	\$775
\$500,000	\$749,999.99	\$1,500
\$750,000 and up		\$1,850

### ALL ACCOUNTS INCLUDE AT NO ADDITIONAL CHARGE

- Online Account Access
- Annual Tax Reporting
- Required minimum distributions by check
- Access to Regular Educational/Networking Events

### TRANSACTION FEES

- Account establishment: \$50
- Purchase, Sale, Exchange or Re-Registration of any non-Real Estate Asset/Liability: \$95
- Purchase, Sale, Exchange or Re-Registration of any Real Estate Asset/Liability: \$125
- Wire transfers: \$30
- Cashiers or other official bank check: \$10; ACH transfers, Trust checks, \$5 each
- Overnight mail: \$30
- Returned Items or Stop Payment Request: \$30
- Re-processing of incomplete documents: \$25 per reprocessing, plus applicable fees
- Individual (k) Plan Document Fee: \$300 per year
- Special services, such as research of closed accounts, legal research, expedited investment review or additional processing required for certain complex transactions: \$150/hour
- Partial or Full Account Termination - Includes transfer of assets from your account and lump-sum distributions: .005 of the termination value plus applicable transaction fees; minimum fee of \$150, maximum of \$375

**PAY FEES BY:**  VISA  MC  FUND FROM ACCOUNT

**NAME ON CARD** \_\_\_\_\_ **BILLING ADDRESS** \_\_\_\_\_

**CITY** \_\_\_\_\_ **STATE** \_\_\_\_\_ **ZIP CODE** \_\_\_\_\_

**CARD NUMBER** \_\_\_\_\_ **EXP DATE** \_\_\_\_\_

**SECURITY CODE** \_\_\_\_\_ **SIGNATURE:** \_\_\_\_\_

If an invoice on your account is unpaid for 30 days and funds are unavailable in the account, the credit/debit card on file for the account will be charged.

*Annual Record keeping fees are normally withdrawn from your un-directed funds within 20 days after the invoice date, unless you submit payment directly by check, credit or debit card. Fees paid from your account will be reflected on your statement. You may also prepay fees by check, credit or debit card or charge to your account. If there are insufficient un-directed funds in your account, we may liquidate other assets in your account to pay for such fees after a 30 day notification, in accordance with your Plan and Trust Disclosure. You agree and direct the Administrator that your un-directed cash is placed in government insured instruments, including FDIC insured banks, unless we are otherwise directed by you. In accordance with your plan and trust disclosure which you received as part of your application, Custodial fees are part of the plan and trust disclosure. Late Payment, Fees: The lesser of 1.5% per month (18% per annum) or the maximum allowable under applicable state law. In accordance with your Account Application, this Fee Disclosure is part of your Agreement with the Administrator and must accompany your Application. If a signed Fee Disclosure is not received with your Application, fees will be based on "Option 2—Account Value".*

**PLEASE PRINT, SIGN AND MAIL THIS FORM TO YOUR ENTRUST OFFICE.**

**Printed Name** \_\_\_\_\_

**Signature** \_\_\_\_\_ **Date** \_\_\_\_\_