

ANNUAL RECORDKEEPING FEE (PLEASE CHOOSE AN OPTION)

Option One: Fee Based on Number of Assets:

\$295 Per Asset and/or Liability

(**\$295 Minimum Account Fee**)

Option Three: Gold Family Service: \$2,400 Annual Fee

(Unlimited Accounts for Husband, Wife and Minor Children. All Inclusive Fee Structure, No Transaction Costs except wire/overnight fees)

Option Two: Fee Based on Total Account Value

Portfolio Value:		Annual Asset Fee:
\$0	\$14,999.99	\$195
\$15,000	\$29,999.99	\$260
\$30,000	\$44,999.99	\$325
\$45,000	\$59,999.99	\$390
\$60,000	\$89,999.99	\$500
\$90,000	\$124,999.99	\$700
\$125,000	\$249,999.99	\$950
\$250,000	\$499,999.99	\$1,250
\$500,000	\$749,999.99	\$1,650
\$750,000 and up		\$1,850

ALL ACCOUNTS INCLUDE AT NO ADDITIONAL CHARGE

- Online Account Access
- Annual Tax Reporting
- Required minimum distributions by check
- Access to Regular Educational/Networking Events

TRANSACTION FEES

- Account establishment: \$50 (Paid upon initial application)
- Purchase, Sale, Exchange or Re-Registration of any Asset: \$95
- Wire transfers: \$30
- Cashiers or other official bank check: \$10
- ACH transfers, Trust checks: \$5 each
- Overnight mail: \$30
- Returned Items or Stop Payment Request: \$30
- Individual (k) Plan Document Fee: \$300 per year
- Special services, such as research of closed accounts, legal research, expedited investment review or additional processing required for certain complex transactions: \$150/hour
- Partial or Full Account Termination - Includes transfer of assets from your account and lump-sum distributions: .005 of the termination value: maximum fee of \$250 plus transaction & re-registration charges for each asset sale

PAY FEES BY: VISA MC AMEX DISCOVER

CARD NUMBER _____ **EXP DATE** _____

NAME ON CARD _____ **BILLING ZIP CODE** _____

Preferred Billing Method: **Always charge my credit card**

Only charge my credit card if no funds are available in my retirement account

Accounts with no credit card on file may be required to prepay fees in the amount of \$250

*Annual Record keeping fees are withdrawn from your un-directed funds on the date of account establishment and subsequent asset purchases unless you submit payment directly by check, credit or debit card. Fees may be collected quarterly and those Fees paid from your account will be reflected on your statement. You may also prepay fees by check, credit or debit card or charge to your account. If there are insufficient un-directed funds in your account, we may liquidate other assets in your account to pay for such fees after a 30 day notification, in accordance with your Plan and Trust Disclosure. You agree and direct the Administrator that your un-directed cash is placed in government insured instruments, including FDIC insured banks, unless we are otherwise directed by you. In accordance with your plan and trust disclosure which you received as part of your application, Custodial fees are part of the plan and trust disclosure. Late Payment, Fees: The lesser of 1.5% per month (18% per annum) or the maximum allowable under applicable state law. **In accordance with your Account Application, this Fee Disclosure is part of your Agreement with the Administrator and must accompany your Application. If a signed Fee Disclosure is not received with your Application, fees will be based on "Option 2—Account Value"***

PLEASE PRINT, SIGN AND MAIL THIS FORM TO YOUR ENTRUST OFFICE.

Printed Name _____

Signature _____ **Date** _____