

Account Fee Schedule - Traditional, Roth, SEP, SIMPLE, ESA, HSA

1 Account Establishment Fee

One-time account establishment fee, per account \$50

2 Account Recordkeeping Fee (billed quarterly)

Option 1 - Based on Number of Assets		Option 2 - Based on Asset Value <i>(calculate using table below)</i>													
Alternative Assets	\$299 per asset	For all assets	Min. \$199 - Max. \$1,995												
Non-Recourse Loans	\$199/asset														
Precious Metals	\$150/year for unlimited assets														
Crowdfunding*	\$150/year for unlimited assets														
<p><i>All annual fees are billed in quarterly increments upon investment acquisition. A single alternative asset investment annual fee is \$299 per year, charged \$74.75 per quarter. For multiple investments, for example three alternative investments, the annual fee would be \$897, charged \$224.25 per quarter. Please note that a non-recourse loan is payable at a discounted rate of \$199 per year. For example, a single investment coupled with a non-recourse loan would be charged \$498 per year or \$124.50 per quarter.</i></p> <p><i>*The crowdfunding fee of \$150/year for unlimited assets will only be applied to accounts that are investing with the following companies: WeFunder, SharesPost, Realty Mogul, Funders Club, EquityZen, and Crowdstreet.</i></p>		<p><i>For example, if account value is \$20,000, the annual administration fee would be \$199 (i.e., the greater of \$20,000 x .0095 = \$190 or, \$199 minimum fee). As another example, if account value is \$55,000, the annual administration fee would be calculated by adding together the incremental amounts for each tier: Tier 1: \$24,999 x .0095 = \$237.49; Tier 2: \$25,000 x .0070 = \$175.00. Tier 3: \$5,001 x .0065 = \$32.51. The fee would be determined by combining the numbers from all three tiers which totals \$445.00.</i></p>													
		<table border="1"> <thead> <tr> <th>If the account value is between</th> <th>Multiply the value by</th> </tr> </thead> <tbody> <tr> <td>\$1 and \$24,999</td> <td>0.0095</td> </tr> <tr> <td>\$25,000 and \$49,999</td> <td>0.0070</td> </tr> <tr> <td>\$50,000 and \$149,999</td> <td>0.0065</td> </tr> <tr> <td>\$150,000 and \$299,999</td> <td>0.0060</td> </tr> <tr> <td>\$300,000 and up</td> <td>\$1,995 (max)</td> </tr> </tbody> </table>		If the account value is between	Multiply the value by	\$1 and \$24,999	0.0095	\$25,000 and \$49,999	0.0070	\$50,000 and \$149,999	0.0065	\$150,000 and \$299,999	0.0060	\$300,000 and up	\$1,995 (max)
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3 Purchases and Sales of Assets

Purchase, sale, exchange or additional funding, per asset \$95
(excluding real estate, precious metals and/or crowdfunding with selected companies)

Purchase, sale, or exchange of real estate *(includes earnest money deposit if applicable)* \$175

Purchase, sale, or exchange of real estate with non-recourse loan \$250
(includes earnest money deposit if applicable)

Purchase, sale, or exchange of precious metals \$0
(precious metals depository fees, such as storage and shipping, may apply and will be charged to your account)

Purchase, sale, or exchange of crowdfunding \$0
(only if investing with the following companies: WeFunder, SharesPost, Realty Mogul, Funders Club, EquityZen, and Crowdstreet)

4 Transaction Fees

ACH transfers, incoming and outgoing \$0

Wire transfers, per item, incoming and outgoing \$30

Overnight delivery via FedEx, UPS, USPS, etc. \$30

Checks *(includes all check requests)* \$10/check

Cashiers or other official bank check \$30

Research of closed assets or accounts, legal research, or special handling of transactions \$150/hour

Rush fees for expedited transaction processing or services within the same or next day \$150

Returned items of any kind and stop payments, per item \$30

Re-registration of assets plus expenses of transfer agents *(if applicable)* \$100

Account termination processing fee *(per account)* \$250