

### 1 Plan Information

PLAN NAME <i>(as it appears on your plan document)</i>	PLAN NUMBER	TRADITIONAL OR ROTH? <input type="checkbox"/> Traditional Only <input type="checkbox"/> Roth Only <input type="checkbox"/> Both Traditional and Roth
EMAIL ADDRESS <i>(required)</i>		DAYTIME PHONE NUMBER

### 2 Account Establishment Fee

One-time account establishment fee, per account	\$50
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### 3 Annual Recordkeeping Fee *(billed quarterly)*

	Total Asset Value under \$50,000 (excluding cash)	Total Asset Value of \$50,000 or more* (excluding cash)
A single asset	\$219 annually	\$219 annually + 0.17% of the total asset value over \$50,000* (excl. cash)
Two or more assets	\$329 annually	\$329 annually + 0.17% of the total asset value over \$50,000* (excl. cash)

\*The uninvested cash in your account is not charged a 0.17% fee, regardless of the amount of cash held in there. The 0.17% fee is assessed solely on the total asset value above \$50,000. For example, an account with two assets of a total value of \$150,000 and \$12,000 cash would pay a fee of \$329 + 0.17% of \$100,000 (\$170), or a total of \$499 annually.

There is a cap on recordkeeping fees of \$2,299 per year.

### 4 Administrative and Transaction Fees

Purchase, sale, or exchange of real estate <i>(includes earnest money deposit if applicable)</i>	\$175
Purchase, sale, or exchange of real estate note <i>(includes note payoff if applicable)</i>	\$175
Purchase, sale, or exchange of real estate with non-recourse loan <i>(includes earnest money deposit if applicable)</i>	\$250
Purchase, sale, or exchange of precious metals <i>(precious metals depository fees, such as storage and shipping, may apply and will be charged to your account)</i>	\$0
Purchase, sale, or exchange of crowdfunding <i>(only if investing with the following companies: WeFunder, SharesPost, Realty Mogul, Funders Club, EquityZen, and CrowdStreet)</i>	\$0
Purchase, sale, exchange, or additional funding of all other alternative assets	\$105
ACH transfers, incoming and outgoing	\$0
Checks <i>(includes all check requests)</i>	\$10
Wire transfers, incoming and outgoing	\$30
Standard overnight delivery via FedEx, UPS, or USPS <i>(additional charges may apply)</i>	\$40
Cashier's check or other official bank check	\$30

## 4 Administrative and Transaction Fees *(continued)*

Dispute of fees on credit/debit card	\$15
Returned items of any kind and stop payments, per item	\$30
Re-registration of assets plus expenses of transfer agents <i>(if applicable)</i>	\$100
Research of closed assets or accounts, legal inquiries, unclaimed property investigations, and other special transaction handling	\$150/hour 1 hour min.
Rush fees for expedited transaction processing or services within the same or next day	\$150
Account termination processing fee	\$250

## 5 Payment of Fees

Account Establishment Fee <i>(nonrefundable)</i> - Pay by:	<input type="checkbox"/> CREDIT CARD	<input type="checkbox"/> CHECK - MADE PAYABLE TO ENTRUST
Annual Recordkeeping Fee <i>(payable quarterly)</i> - Pay by:	<input type="checkbox"/> CREDIT CARD	<input type="checkbox"/> **DEBIT ENTRUST ACCOUNT
Administrative and Transaction Fees - Pay by:	<input type="checkbox"/> CREDIT CARD	<input type="checkbox"/> **DEBIT ENTRUST ACCOUNT
Termination Fee - Pay by:	<input type="checkbox"/> CREDIT CARD	<input type="checkbox"/> **DEBIT ENTRUST ACCOUNT

**\*\* If no preference indicated, fees will be debited from your Entrust account.**

## 6 Credit Card Information

PAY WITH CARD ON FILE <input type="checkbox"/>		LAST 4 DIGITS OF CARD ____ ____ ____ ____	
NEW CARD <i>(select one)</i> : <input type="checkbox"/> VISA		<input type="checkbox"/> MASTERCARD <input type="checkbox"/> AMERICAN EXPRESS <input type="checkbox"/> DISCOVER	
NAME AS IT APPEARS ON CARD		CARD NUMBER	SECURITY CODE
EXPIRATION DATE		BILLING ADDRESS	
CITY, STATE, ZIP CODE			
By signing below, you authorize Entrust to charge your credit card for the fees associated with this transaction. Your request will be processed upon receipt of this form. You understand that inaccurate or incomplete credit card information or charges declined by the credit card issuer will delay the processing of the account transaction.			
SIGNATURE OF CARDHOLDER			DATE

**FORM CONTINUES ON PAGE 3**

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**Individual 401(k) Disclosure and Plan Trustee or Plan Participant Signature**

Annual recordkeeping and administration fees are charged for all or any portion of each year during which the account is in existence. If you terminate your account during a year, you will still owe the annual fee for that entire year. These fees are charged on the basis of the year beginning on the date when your account is established, and each anniversary of that date. Annual recordkeeping fees are not pro-rated when an account closes.

Recordkeeping fee from Undirected Cash: We receive a fee equal to the income generated from deposit accounts that hold undirected cash in your Account, if any.

Termination: If you incur a termination of your account, we will charge a termination fee of \$250, plus the applicable transaction fee (non-real estate or real estate, as applicable) for each asset that is sold.

Collection of Fees and Charges: Account fees and charges, as described above, are charged in advance or in connection with the applicable services and events, and are at no time refundable. We generally bill and collect fees and charges quarterly, based on your account establishment date. These fees and charges are normally withdrawn from your undirected cash funds balance approximately 20 days after the invoice date, unless they have been actually paid directly by you.

Late Payment Fees: The lesser of 1.5% per month (18% annum) or the maximum allowable under applicable law. If there is insufficient undirected cash in your account, we will liquidate other assets to pay for such fees and charges, after giving you 30 days' notice of our intention to do so. Re-registration of assets plus expense of transfer agents when applicable is \$100. The Entrust Group, Inc. shall have no liability for any adverse tax or other financial consequences as a result of applying account cash and liquidating other account assets to cover the fees and charges. The Entrust Group, Inc. reserves the right to sell any past due receivables to a collection and credit reporting agency.

**Accounts that have not been credited with funds and/or assets within 6 months of establishment will be closed automatically.**

**In accordance with your Account Application, this Fee Schedule is part of your Agreement with the Recordkeeper.**

**SIGNATURE OF PLAN TRUSTEE OR PLAN PARTICIPANT**

**DATE**