



# Individual 401(k) SmartMetals

## Buy Direction Letter

555 12th Street, Suite 900  
Oakland, CA 94607  
Phone: (800) 392-9653  
Fax: (510) 587-0960  
preciousmetals@theentrustgroup.com

### ATTENTION

1. Use this form to send additional funds to your SmartMetals account.
2. Please indicate how the funds should be allocated in Section 3 (depository storage fees, shipping fees, or additional funds for new purchases).
3. Please make sure your Entrust Plan Account has sufficient funds available in order to complete your transaction. If your Entrust Plan Account does not have sufficient funds, please send a completed [Deposit Transmittal Form](#) (to make a contribution) or [Account Transfer Form](#) (to transfer funds from another account), to the appropriate department before submitting this form.

## 1 Individual 401(k) Plan Information

PLAN NAME <i>(as it appears on your account application)</i>	PLAN NUMBER	TRADITIONAL OR ROTH? <input type="checkbox"/> Traditional Only <input type="checkbox"/> Roth Only <input type="checkbox"/> Both Traditional and Roth
EMAIL ADDRESS	DAYTIME PHONE NUMBER	SmartMetals ACCOUNT NUMBER

## 2 Review Processing *(select one)*

**NOTE: The review request does not guarantee the completion of the transaction. If all required documents are deemed to be in good order after review, funding will occur the following business day. If documents require any corrections, the corrected documents must be submitted before 9:00 a.m. Pacific Time that business day for same day funding.**

OPTION #1 <input type="checkbox"/> NORMAL REVIEW REQUEST Documents are reviewed within approximately 3–5 business days.	OPTION #2 <input type="checkbox"/> EXPEDITED REVIEW REQUEST (\$150) Documents are reviewed within approximately 1–2 business days.
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## 3 Funding Details

TOTAL FUNDING AMOUNT <i>(please provide the dollar amount to be sent to your SmartMetals account)</i> \$		
ALLOCATION OF FUNDS <i>(please indicate how the funds should be allocated below)</i>		
STORAGE FEES <i>(funds to be applied towards depository storage costs)</i> \$	SHIPPING FEES <i>(funds to be applied towards shipping costs when applicable)</i> \$	ADDITIONAL FUNDING <i>(funds to be applied to your SmartMetals account for additional asset purchases)</i> \$

## 4 Pay Entrust Fees *(select one)*

<input type="checkbox"/> PAY WITH UNDIRECTED CASH FROM MY ENTRUST ACCOUNT	<input type="checkbox"/> CREDIT CARD <i>(complete section 5)</i>
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Please note: There is a \$30.00 outgoing wire fee due at the time of transaction. All fees are due at time of transaction. If no indication is made, fees will be deducted from your undirected cash balance. Transaction will not be processed unless sufficient funds are available.



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### 5 Credit Card Information

PAY WITH CARD ON FILE	<input type="checkbox"/>	LAST 4 DIGITS OF CARD    _ _ _ _		
NEW CARD <i>(select one):</i>	<input type="checkbox"/> VISA	<input type="checkbox"/> MASTERCARD	<input type="checkbox"/> AMERICAN EXPRESS	<input type="checkbox"/> DISCOVER
NAME AS IT APPEARS ON CARD	CARD NUMBER		SECURITY CODE	
EXPIRATION DATE	BILLING ADDRESS			
CITY, STATE, ZIP CODE				
By signing below, you authorize Entrust to charge your credit card for the fees associated with this transaction. Your request will be processed upon receipt of this form. You understand that inaccurate or incomplete credit card information or charges declined by the credit card issuer will delay the processing of the account transaction.				
SIGNATURE OF CARDHOLDER			DATE	

### 6 Individual 401(k) Disclosure and Plan Trustee or Plan Participant Signature

**For full disclosure language, please refer to section 6 of your Individual 401(k) Plan application: Investment Direction and Important Disclosures. I understand that Entrust will not provide any investment advice. All tax reporting for this plan is the responsibility of the Trustee.**

**Not Responsible for Market Condition Variances:** I understand that I have agreed and instructed the Custodian to follow the investment direction which I provide to Administrator in investing the principal, as confirmed by written direction letters or instructions to Administrator from the undersigned for the above-referenced Account or other Custodial account for which Administrator serves as record keeper. I further understand that for any transaction that I may direct or instruct Administrator to complete, especially precious metals, that may be dependent upon the operation of global markets and entities, there could be fluctuations in price and condition of said investments from the time that I issue a direction letter to Administrator and the time when the transaction can actually be completed and recorded in my Account. I hereby agree to release, indemnify, defend and hold Administrator and Custodian harmless from any claims regarding the fluctuation in prices and/or conditions of any transaction I direct or instruct Administrator to make on my behalf. I further agree to waive any claims that I have, past, present or future, known or unknown, anticipated or unanticipated, with respect to the fluctuation or change in the price or condition of any investment that I direct or instruct Administrator to make from the time I deliver my direction or instruction letter to Administrator until the time the transaction is actually completed and recorded to my Account. I understand that this hold harmless and release shall apply equally to the Administrator and Custodian.

***Transactions with insufficient funds will not be processed until sufficient funds are received. If fees are being deducted from your account, the full amount of the transaction plus fees must be available before your transaction can be processed.***

***I have read and understand the disclosure above.***

SIGNATURE OF PLAN TRUSTEE OR PLAN PARTICIPANT	DATE
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