

ATTENTION

1. Use this form to liquidate some or all of your SmartMetals holdings and authorize funds to be returned back to your Plan Account's undirected cash balance.
2. In Section 3, please indicate if the sale has already been initiated on the SmartMetals trading platform. Please also indicate whether this is a "Partial" or a "Full" Sale of your holdings, and the "Total Sale Amount". For sales not yet initiated on the SmartMetals trading platform, please provide the weight & type of metals you intend to liquidate.
3. Note that selecting a "Full Sale" in Section 3 will automatically result in the closure of your SmartMetals trading account. If you do not wish to close your SmartMetals trading account, please request a "Partial Sale" in Section 3.
4. Please note this transaction may be subject to additional processing fees and outstanding storage fees as assessed by the GBI, ASI or HAA, which may affect the total amount returned to your plan. This fee may be automatically deducted by the 3rd party banking institution for transactions \$10,000 or greater.

1 Individual 401(k) Plan Information

PLAN NAME <i>(as it appears on your account application)</i>	PLAN NUMBER	TRADITIONAL OR ROTH? <input type="checkbox"/> Traditional Only <input type="checkbox"/> Roth Only <input type="checkbox"/> Both Traditional and Roth
EMAIL ADDRESS	DAYTIME PHONE NUMBER	SmartMetals ACCOUNT NUMBER

2 Review Processing *(select one)*

NOTE: The review request does not guarantee the completion of the transaction. If all required documents are deemed to be in good order after review, funding will occur the following business day. If documents require any corrections, the corrected documents must be submitted before 9:00 a.m. Pacific Time that business day for same day funding.

OPTION #1 <input type="checkbox"/> NORMAL REVIEW REQUEST Documents are reviewed within approximately 3–5 business days.	OPTION #2 <input type="checkbox"/> EXPEDITED REVIEW REQUEST (\$150) Documents are reviewed within approximately 1–2 business days.
---	--

3 Sale Information

Have you already initiated the sale of asset(s) on the SmartMetals trading platform? ☐ Yes ☐ No

<input type="checkbox"/> FULL SALE: Liquidate all metals, which will authorize Entrust to close your SmartMetals trading account. TOTAL SALE AMOUNT: \$	OR	<input type="checkbox"/> PARTIAL SALE: Liquidate some of your metals. TOTAL SALE AMOUNT: \$
Metal(s) Type (i.e., Gold, silver, etc.)	Metal(s) Weight (i.e., 10 grams)	
1.		
2.		
3.		
4.		
5.		
6.		
7.		



4 Pay Entrust Fees *(select one)*

☐ PAY WITH UNDIRECTED CASH FROM MY ENTRUST ACCOUNT ☐ CREDIT CARD *(complete section 5)*

Please note: There is a \$30.00 outgoing wire fee due at the time of transaction. All fees are due at time of transaction. If no indication is made, fees will be deducted from your undirected cash balance. Transaction will not be processed unless sufficient funds are available.

5 Credit Card Information

PAY WITH CARD ON FILE ☐ LAST 4 DIGITS OF CARD _ _ _ _

NEW CARD *(select one)*: ☐ VISA ☐ MASTERCARD ☐ AMERICAN EXPRESS ☐ DISCOVER

NAME AS IT APPEARS ON CARD CARD NUMBER SECURITY CODE

EXPIRATION DATE BILLING ADDRESS

CITY, STATE, ZIP CODE

By signing below, you authorize Entrust to charge your credit card for the fees associated with this transaction. Your request will be processed upon receipt of this form. You understand that inaccurate or incomplete credit card information or charges declined by the credit card issuer will delay the processing of the account transaction.

SIGNATURE OF CARDHOLDER

DATE

6 Individual 401(k) Disclosure and Plan Trustee or Plan Participant Signature

For full disclosure language, please refer to section 6 of your Individual 401(k) Plan application: Investment Direction and Important Disclosures. I understand that Entrust will not provide any investment advice. All tax reporting for this plan is the responsibility of the Trustee.

Not Responsible for Market Condition Variances: I understand that I have agreed and instructed the Custodian to follow the investment direction which I provide to Administrator in investing the principal, as confirmed by written direction letters or instructions to Administrator from the undersigned for the above-referenced Account or other Custodial account for which Administrator serves as record keeper. I further understand that for any transaction that I may direct or instruct Administrator to complete, especially precious metals, that may be dependent upon the operation of global markets and entities, there could be fluctuations in price and condition of said investments from the time that I issue a direction letter to Administrator and the time when the transaction can actually be completed and recorded in my Account. I hereby agree to release, indemnify, defend and hold Administrator and Custodian harmless from any claims regarding the fluctuation in prices and/or conditions of any transaction I direct or instruct Administrator to make on my behalf. I further agree to waive any claims that I have, past, present or future, known or unknown, anticipated or unanticipated, with respect to the fluctuation or change in the price or condition of any investment that I direct or instruct Administrator to make from the time I deliver my direction or instruction letter to Administrator until the time the transaction is actually completed and recorded to my Account. I understand that this hold harmless and release shall apply equally to the Administrator and Custodian.

Transactions with insufficient funds will not be processed until sufficient funds are received. If fees are being deducted from your account, the full amount of the transaction plus fees must be available before your transaction can be processed.

I have read and understand the disclosure above.

SIGNATURE OF PLAN TRUSTEE OR PLAN PARTICIPANT

DATE